

भारतीय अंतरिक्ष विज्ञान एवं प्रौद्योगिकी संस्थान Indian Institute of Space Science and Technology

पीएचडी नियम, विनियम और दिशानिर्देश PhD Rules, Regulations and Guidelines

October 2025

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1. The Institute and Ph.D. Programme

1.1 The Institute

The Indian Institute of Space Science and Technology (IIST), situated at Thiruvananthapuram is a Deemed to be University under Section 3 of the UGC Act 1956. IIST functions as an autonomous body under the Department of Space, Government of India. The institute is the first of its kind in the country to offer high-quality education at the undergraduate, graduate, doctoral, and post-doctoral levels in areas with a special focus on space sciences, space technology, and space applications.

Research forms a significant goal envisaged for this premier and unique institute. The aim is to seamlessly integrate research and development with academics, encouraging faculty members to pursue research in their respective areas of interest actively. Research at IIST is built on the foundations of various academic programmes run by the Departments of Aerospace Engineering, Avionics, Chemistry, Earth and Space Sciences, Humanities and Social Sciences, Mathematics, and Physics. The central theme of IIST's research portfolio is the application of cutting-edge science to generate new technology. The faculty members at IIST are engaged in theoretical, computational, and experimental research, and the institute has several state-of-the-art facilities in all departments to support its research activities. The focus of the Institute is on strong interdisciplinary and collaborative work within the various departments of the Institute, across various centres of ISRO, as well as national and international academic and research organisations. This scientific exchange aims to develop technologies in response to the needs of local, national, and global interests, while also advancing our scientific knowledge.

1.2 PhD Programme Committee

The Ph.D. Programme Committee is responsible for the preparation and updating of the Ph.D. Guidebook, as well as overseeing admissions to the Ph.D. Programme. The committee monitors and reports on the overall functioning of the Ph.D. Programme at IIST. It is also responsible for arranging scholars' seating and other official requirements. In addition, the committee regularly reviews the progress of research scholars and recommends travel and participation in academic meetings and conferences. The committee is primarily constituted to ensure student support and development, and to uphold the integrity, quality, and continuous enhancement of the Ph.D. Programme at IIST.

1.3 Ph.D. Programme Categories

The Ph.D. programme is broadly categorized into two groups:

- Full-time PhD programme
- ii. Part-time PhD programme

1.3.1 Full-time Ph.D. Programme

In this programme, a research scholar pursues research work within the institute or in an identified DoS Centre/Unit on a full-time basis.

Under this Programme there are five categories based on the funding agency

- (a) IIST Fellowship IIST funds Ph.D. students under this category.
- **(b) External Fellowship** In this case, the Ph.D. fellowship is provided by an external funding agency such as CSIR, UGC, DST, INAE, NBHM, and any other national or state fellowships.
- (c) Advanced Space Research Group (ASRG) Funded- Fellowship for the PhD Students admitted under this category are from the ASRG projects supported by ISRO/DoS.
- (d) External Project Funded In this case, the PhD fellowship is provided from the funds of an external project.
- **(e) ISRO/DOS Funded** PhD Students under this category are admitted through an MoU signed between IIST and identified ISRO/DOS centres. The respective ISRO/DOS centre provides a fellowship.

1.3.2 Part-Time Ph.D. Programme

In this programme, the research scholar pursues research work on a part-time basis. Under this programme, there are three categories:

- (a) Internal IIST This Programme is for employees of IIST, who can be admitted as part-time research scholars as per the prescribed selection procedure.
- **(b) ISRO / DoS Sponsored -** This Programme is for permanent employees of DoS, who can be admitted as part-time research scholars as per the identified selection procedure.
- **(c) Industry sponsored** This Programme is for employees of Industries, who can be admitted as part-time research scholars through an MoU.
- **(d) Integrated PhD** (Masters + PhD)- Students who joined for MTech in IIST from central government organizations can pursue PhD under this programme.

2. Eligibility, Selection Procedure and Admission

2.1 Eligibility

The applicant should be an Indian citizen under 35 years of age as on the last date of application closure (as specified in the advertisement) for full-time scholars. There is no maximum age limit for part-time scholars from IIST/ ISRO / DoS / Central Government Organizations and Industries. Age Relaxation as per the government of India norms will apply to specific categories of applicants for all types of PhD Programme. Applicants who are employed in Central Government Organizations should submit a "No Objection Certificate (NOC)" from the employer at the time of application.

Educational Qualifications

The minimum educational qualification for admission to a Ph.D. programme falls into one of the following four broad categories, based on the highest qualifying examination and category of Ph.D., namely full-time or part-time.

- (a) Applicants with a Master's Degree in Engineering/Technology as their highest qualifying degree
- **(b)** Applicants with a Bachelor's Degree in Engineering / Technology as their highest qualifying degree
- (c) Applicants with a Master's Degree in Science/ Humanities/ Management/ Social Sciences as their highest qualifying degree
- (d) Applicants with a Bachelor's Degree with a four-year duration in Science/Humanities / Management / Social Sciences as their highest qualifying degree.

(a) Applicants with a Master's Degree in Engineering/Technology as their highest qualifying degree

 M.E./M.Tech. with 60% marks or its equivalent grade in a point scale, if the grading system is followed. (55% marks or equivalent CGPA for OBC/EWS/SC/ST/PwD) in the qualifying master's degree.

or

Master of Science in Engineering or equivalent from leading foreign Universities with a minimum CGPA of 8.00/10 or 3.60 /4 or equivalent.

 Applicants must qualify a National Eligibility Test, such as GATE/CSIR/UGC-NET/NBHM/JEST or Screening Test conducted by IIST.

(b) Applicants with a Bachelor's Degree in Engineering/Technology as their highest qualifying degree

B.E./B.Tech. with 75% marks or its equivalent grade in a point scale, if the grading system is followed. (70% marks or equivalent CGPA for OBC/EWS/SC/ST/PwD) in the Qualifying Bachelor's degree. They should have a valid GATE score.

Candidates in the following categories are exempted from the requirement of GATE score: -

i) B.E./B.Tech. from Indian Institute of Space Science and Technology (IIST) / Indian Institute of Technology (IIT) with 7.50 CGPA (CGPA of 7.00 for OBC/EWS/SC/ST/PwD) on a scale of 10 or equivalent in the Qualifying Bachelor's degree. They need to qualify the screening test conducted by IIST.

or

ii) Dual degree (Bachelor's in Engineering and Master's in Science from Indian Institute of Space Science and Technology / Indian Institute of Technology (IIT)) with a minimum

score in the Bachelor's degree as mentioned above. They need to qualify the screening test conducted by IIST.

or

iii) Applicants who have qualified CSIR/UGC-NET/NBHM/JEST in the relevant disciplines, the score/certificate should be valid at the time of application. Applicants with valid JEST rank are required.

(c) Applicants with a Master's/BS-MS Degree in Science/Humanities/Management/Social Sciences as their highest qualifying degree

- Master's in the relevant area with a minimum of 60% marks or its equivalent grade in a
 point scale, if the grading system is followed. (55% marks or equivalent CGPA for
 OBC/EWS/SC/ST/PwD) in the Qualifying Master's degree. They must have qualified a
 National Eligibility Test, such as CSIR/UGC-NET/NBHM/JEST/GATE, in the relevant
 discipline.
- The score/certificate should be valid at the time of application
- Applicants with JEST should have a valid JEST rank.

(d) Applicants with a Bachelor's Degree with a four-year duration in Science/Humanities/Management/Social Sciences as their highest qualifying degree

- Bachelor's Degree (4 years' duration) with 75% marks or its equivalent grade in a point scale, if the grading system is followed (70% marks or equivalent CGPA for OBC/EWS/SC/ST/PwD).
- They must have cleared a National Eligibility Test, such as CSIR/UGC-NET/NBHM/JEST/GATE score in the relevant discipline.
- The score/certificate should be valid at the time of application
- Applicants with JEST should have a valid JEST rank.

Note: Candidates with a Bachelor's degree as their highest qualification need to undergo additional coursework, as prescribed by the institute.

Applicants awaiting results in the final year/semester are also eligible to apply for a Ph.D. if they meet the prescribed eligibility criteria. In such cases, IIST will offer provisional admission to the selected candidates. For confirmation of admission, applicants must produce the relevant documents within the specified time; failing to do so, IIST will cancel the provisional admission.

2.2 Selection Procedure

The selection procedures for various Ph.D. categories are outlined below.

2.2.1 Full-time Ph.D. Programme (IIST Fellowship, External Fellowship, ASRG project funded, and External Project funded)

Ph.D. scholars for the full-time Ph.D. programme will be selected and admitted through the following selection procedure twice in a year for semester sessions beginning (i) January and (ii) July. The call for the PhD programme will be through an open advertisement in national newspapers and on the institute's website. The total number and area-wise distribution of full-time research scholars to be admitted for each of the two sessions in a year will be determined by the institute. The selection process is through one of the following

- (a) Direct Interview
- (b) Screening Test of one-hour duration (Objective type) + Interview.

(a) Criteria for Direct Interview

Applicants who satisfy the minimum eligibility criteria and have a valid CSIR/UGC-NET/ NBHM / JEST/GATE score will be shortlisted and invited for an interview.

(b) Screening test + Interview

Applicants satisfying the minimum eligibility criteria but not having a valid CSIR/ UGC-NET/ NBHM /JEST/GATE score can be admitted for Ph.D. through the screening test of one hour duration, followed by an interview, with details as provided below:

- i) Based on the performance of the candidates in the screening test, the departments will set the minimum qualifying marks for the UR category in the screening test. There will be a 5% relaxation in qualifying marks for SC/ST/PwD/OBC/EWS candidates. The candidates who qualify for the screening test will only be called to appear for the interview.
- ii) The final selection will be based on performance during the interview.
- iii) Candidates in the UR category must secure 60% or more, while candidates in the SC/ST/PwD/OBC/EWS categories must secure 55% or more above in the interview to be considered for selection.

2.2.2 Part-time Ph.D. Programme

The details for the selection from ISRO/DoS/Industry/Internal-IIST Ph.D. Programmes are as follows.

- (a) Selection of such candidates for PhD will be carried out twice a year, i.e., for January and July sessions.
- (b) The call will be through advertisements on the Institute's website and official communication to centres/units of ISRO/DoS. Prospective candidates are required to submit an application, duly forwarded to IIST by their respective unit/centre, providing details of their previous

- academic record, research experience, and any publications, along with a summary of the proposed research work and names of the proposed guide from ISRO/DoS and IIST.
- **(c)** After a preliminary scrutiny, the application shall be reviewed by the respective department to evaluate the overall relevance of the proposed research topic and the ability of the applicant to pursue the programme.
- (d) For candidates from Industry interested in carrying out their PhD at IIST, a Memorandum of Understanding (MoU) needs to be signed between IIST and the concerned industry/company before admitting a sponsored scholar for a Ph.D.
- (e) Sponsored candidates seeking admission based on a Master's or Bachelor's Degree in Engineering/Technology/Science/Arts/Management, or a 4-year Bachelor's Degree in Science/ Arts / Management shall undertake a Screening test in order to qualify for a Ph.D. admission interview. The final selection will be based on performance in the interview. A candidate must secure 60% marks to be eligible for admission.
- (f) Applicants are exempted from the Ph.D. Screening test conducted by the institute if they have qualified any national-level eligibility test such as, CSIR/UGC-NET/ NBHM /JEST/GATE, with validity.
- (g) Candidates may contact the respective supervisor/department for the screening test syllabus.

2.3 Admission

Applicants whose selection is approved by the Vice Chancellor, IIST will be admitted to the Ph.D. programme by duly undergoing the process of admission and registration in the respective academic departments on the dates specified in the communication to the selected candidates.

- (a) For confirmation of admission of provisionally selected candidates, applicants shall have to produce relevant documents within the specified time, failing which the provisional admission will stand cancelled.
- **(b)** In exceptional cases, a provisionally selected applicant may be allowed to join IIST without submitting the provisional/degree certificate on the stipulated date of joining, subject to the following conditions:
 - At the time of joining IIST, the applicant should have completed all the requirements for the award of the qualifying degree, including all examinations, project works, and Viva-Voce.
 - The applicant should produce at the time of joining a Course Completion Certificate issued by the competent authority in the University / Institute specifically confirming that all examinations, project works, and Viva-Voce for the qualifying degree are completed.
 - If the above condition is satisfied, the applicant can be given provisional admission with the undertaking that all pending certificates, mark sheets, and degree certificates (Original or Provisional) will be submitted to IIST, latest by 30th April for January admission and 31st October for the July admission of the respective year, failing which the provisional admission stands cancelled.

(c) For provisionally admitted candidates, no fellowship will be paid during the period before the required certificates are submitted. However, on regularization of admission following the submission of all required documents before the stipulated date, these applicants will be paid a fellowship in retrospect from the date of joining.

3. PhD Guidelines

3.1 Academic Guidelines

3.1.1 Research Supervisor

- (a) For each full-time research scholar, an IIST faculty member of the enrolling Department will function as the Ph.D. supervisor. For project-funded Research scholars, the Principal Investigator (PI)/Co-Investigator (CoPI) of the project shall function as the supervisor.
- (b) For Part-time Research scholars from DoS centers/units, in addition to the Internal Ph.D. Supervisor from IIST faculty, an External (DOS) Supervisor from the respective DoS centre /unit shall also be identified and recommended by the Ph.D. Program Committee.
- (c) For recognition as a Ph.D. Supervisor, the minimum prescribed norms are as follows.
 - The Supervisor should have a Ph.D. degree.
 - The supervisor should have at least 2 years of post-Ph.D- research experience.
 - The supervisor from IIST/DoS should have a minimum of 3 published papers in Journals
 of international repute post-Ph.D. work.
 - The total number of scholars registered for the Ph.D. Degree under a supervisor shall not exceed the limits specified by UGC. Presently, Professor / Associate Professor / Assistant Professor can guide up to eight (8) / six (6) / four (4) PhD scholars at a time, respectively. At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number specified above.
 - Prospective supervisor should have a minimum of three years of service before superannuation. However, such supervisors can continue to supervise their scholars who are already registered until superannuation, as a co-supervisor after superannuation.

3.1.2 Doctoral Committee

- (a) After securing admission for the Ph.D. programme, the candidate has to immediately (within one week) register for the PhD programme through Form No. 1.
- (b) Within ten days of the joining date of the Research Scholar, a Doctoral Committee (DC) will be proposed by the Supervisor along with the Head of the Department (HoD) of the department and submitted to the Vice Chancellor in the prescribed format (Form No. 2) for approval.
- (c) The DC shall comprise at least five members, with its constitution as follows.

1.	HoD of the department or a faculty member from Dept. chosen by the HoD in consultation with the Supervisor	Chairperson
2.	One faculty member from the Dept. with similar / overlapping research interests	Expert Member
3.	One faculty from another Dept. of IIST	Member
4.	One Expert in the research area from outside IIST/Organization of the candidate	Expert Member
5.	Co-Supervisor (if any)	Member
5.	Supervisor	Convener

- (d) After approval, Dean Academics communicates the constitution of the DC to the members.
- **(e)** The Doctoral Committee approves the specific coursework for the PhD scholar based on the research problem and the scholar's academic background.
- (f) The Doctoral Committee will evaluate the progress of the Ph.D. scholar every year based on the work report and presentation, and will recommend any mid-course corrections needed.

3.1.3 PhD registration and duration

All Ph.D. students, whether full-time or part-time, are required to pay the semester fees each semester to maintain the registration. The Ph.D. registration will lapse if the candidate does not pay the semester fee within the maximum stipulated period (two months after the commencement of the semester (January/July). Re-registration will be required for such candidates, subject to specific approvals and payment of the re-registration fee.

The duration of PhD is given in the following table

Category	Normal Duration		Extension (with
Calegory	Minimum	Maximum	approval)
Full-time	Three years	Five years	Up to Six years
Part-time	Three years	Six years	Up to Seven years

Beyond the normal duration, in exceptional and genuine cases, Research Scholars can apply for an additional year to submit their thesis. Based on the recommendation of the Doctoral Committee (DC) on the progress of the research work, the Vice Chancellor may approve an extension for submission of the thesis.

Beyond the duration of six years for full-time scholars, and seven years for part-time scholars, no further extension for the submission of the thesis can be granted, and IIST will terminate the candidacy. Re-registration is granted for exceptional cases upon the strong recommendation of

the DC based on adequate reasons for the delay. A re-registration fee of Rs.1500/- will be charged at the time of re-registration.

The scholar who could not complete his/her Ph.D. program within the maximum study period (six years for full-time and seven years for part-time) would have his/her candidature terminated. However, there is a distinct possibility of completing their Ph.D. at the institute, provided they can fulfil their envisaged research objectives, publish at least two peer-reviewed journal articles, and submit a soft copy of the entire Ph.D. thesis. The thesis will be reviewed by a duly constituted Committee, which will assess the quality and quantity of the research work carried out before recommending it to the Vice Chancellor, IIST. Based on the recommendation of the above committee, the Vice Chancellor will permit the Ph.D. student to re-register and subsequently submit his/her Ph.D. synopsis and Ph.D. thesis. The institute will charge the re-registration fee and one semester fee at the time of re-registration. The maximum period allowed for re-registration will be at the discretion of the Vice Chancellor. Upon re-registration within six months, the PhD student is expected to submit his/her PhD synopsis and thesis.

The above Rules and Regulations are in line with the UGC regulations (https://www.ugc.gov.in/pdfnews/0909572_Minimum-Standards-and-Procedure-for-Award-of-PhD-Degree.pdf), in which the duration of the Ph.D. program is referred to under Section 4, which is stated below:

- i) The Ph.D. program shall be for a minimum duration of three years, including coursework, and a maximum of six years
- ii) Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual institution concerned.
- iii) The women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years for PhD in the maximum duration. In addition, women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of the Ph.D. for up to 240 days.

3.1.4 Mandatory coursework

- (a) The Doctoral Committee of the Ph.D. student recommends the coursework to be taken by the Ph.D. scholar. After a recommendation from the DC, the supervisor shall submit the coursework details in the prescribed format (Form No. 3) to the Dean(Academics) through the HoD and the Ph.D. Program Committee.
- **(b)** The Ph.D. coursework registration should be carried out within twenty days of the joining date of the Research Scholar.
- **(c)** The courses include compulsory courses and other courses related to the proposed field of research of the Ph.D. scholar.

- (d) The courses recommended need to be from the approved courses of the institute. However, if any specialized course is not listed in the institute's approved courses, the supervisor shall obtain approval from the Board of Studies.
- (e) Ph.D. scholars are allowed to take online courses from Swayam-NPTEL if IIST does not offer the courses recommended by DC.

(f) Minimum course work credits

- i) For PhD scholars with PG as the highest qualifying degree, the minimum number of credits required for coursework is 12 credits.
- ii) For PhD scholars with UG as the highest qualifying degree, the minimum number of credits required for coursework is 28 credits.
- (g) All research scholars are required to credit the following compulsory courses as part of their coursework, namely
 - i) Research Methodology I (2 or 3 credits)
 - ii) Research Methodology II (2 or 1 credit)
 - iii) Mathematical Methods (3 credits)

Students must earn a total of 4 credits for Research Methodology courses. Research Methodology-I is a regular course offered by IIST or a course from Swayam-NPTEL. In Research Methodology II, the scholar must give a minimum of 1 seminar for 1-credit course and two seminars for 2-credits course in the Department, based on a published research paper recommended by the DC Chairperson or based on the broad area of the scholar's thesis Problem. Research scholars are permitted to take the mandatory Research Methodology I and II courses in the first semester, rather than spreading them over two semesters.

- **(h)** Ph.D. students may choose the compulsory course on mathematical methods from one of the following:
 - Mathematical Methods course offered by the Department of Mathematics or any other department of IIST.
 - A mathematics course from Swayam-NPTEL recommended by the doctoral committee of the scholar.

The compulsory mathematics course may be exempted for Ph.D. Scholars in Humanities and Social Sciences if their DC recommends an alternative course instead of a mathematical methods course.

(i) The Scholar has to secure a minimum C grade (7 on a scale of 10) in all the registered courses.

(j) The following grading system will be applied for marks to the grade conversion scheme for courses done through Swayam-NPTEL

Scheme		
85-100	S (10 points)	
74-84	A (9 points)	
63-73	B (8 points)	
53-62	C (7 points)	
46-52	D (6 points)	
40-45	E (5 points)	
0-39	F (0 points)	

- **(k)** The grades of the coursework are to be submitted to the Dean(Academics) for approval by the Supervisor in the prescribed format (Form No. 4).
- (I) Part-time PhD Scholars can undergo coursework for the required number of credits by taking courses offered by IIST and/or online courses from Swayam-NPTEL as recommended by the Doctoral Committee related to their planned research area.

3.1.4.1 Evaluation of coursework

Scholars with PG as their qualifying degree must pass all coursework within 12 months, whereas Scholars with UG as their qualifying degree must pass all coursework within 18 months from the date of registration.

If a scholar fails to secure the minimum grade (C Grade) in one or more subjects of the DC-recommended coursework, then he/she shall appear in a Supplementary exam to pass the failed coursework. The Supplementary exam shall be conducted within three months after the announcement of the Ph.D. course results.

If a scholar fails to secure a minimum grade (C Grade) in the online Swayam-NPTEL courses, then the student shall register again for the failed online courses to pass the coursework.

Even after appearing in the Supplementary examination, if a scholar with the highest qualifying degree of PG (UG) fails to secure a minimum grade (C Grade) in more than one (two) course(s), then his/her enrollment for the PhD programme will be deemed to be terminated.

If a Ph.D. scholar with the highest qualifying degree PG (UG) fails only in one (two) course(s), he/she shall be allowed to re-register for the failed course in the following semester. A scholar shall re-register for the same course(s) in the following semester, if offered; otherwise, they shall register for equivalent/alternate course(s) in the next semester, after receiving due recommendation from the DC.

If a PhD scholar fails to pass the re-registered course(s), the Institute will be deemed to have terminated their PhD enrolment. A Ph.D. scholar will not be permitted to appear in the supplementary examination for the re-registered course.

Except for medical/maternity reasons, PhD scholars with a PG (UG) as their higher qualification must complete the mandatory coursework within 18 (24) months of joining.

3.1.4.2 Comprehensive examination

- (a) Ph.D. coursework must be duly completed for scholars to go through the Comprehensive exam. Before the one-month of completion of two years of Ph.D., the research Supervisor should initiate the conduct of the Comprehensive Exam, along with the Chairman of the DC. The Comprehensive Exam consists of (i) a presentation on the research work of the Ph.D. student and (ii) a viva-Voce. The Viva-voce consists of questions from research work and coursework.
- (b) JRF to SRF fellowship enhancement review will also be conducted along with the comprehensive examination for full-time PhD scholars. Details regarding fellowship enhancement are available in **Section 4.**
- (c) The supervisor shall communicate the syllabus of the comprehensive exam to the Ph.D. scholar one month prior to the exam. Marks of the comprehensive exam should be submitted in the prescribed format (Form No. 5) available on the IIST website to the office of the Dean (Academics).
- (d) A satisfactory Grade above 60% in the comprehensive exam makes a candidate eligible for continuation of Ph.D. registration in IIST. If the comprehensive exam is not held within 24 months of joining the institution, necessary approval must be obtained from the Dean(Academics) by providing proper justifications. If the Grade in the comprehensive exam is not satisfactory then a second comprehensive exam should be held within three months after the first comprehensive exam. The candidacy will be considered terminated if the PhD scholar does not clear the examination on the second attempt.

Grading Scheme

Marks (%)	Grade
≥80	OUTSTANDING
70-79	VERY GOOD
60-69	GOOD
40-59	AVERAGE
<40	POOR

3.1.4.3 Annual Evaluation

- (a) The Doctoral committee will meet once every 12 months to review the research progress of the scholar. The PhD scholar will be asked to submit a detailed work report and present his/her work to the DC during the annual review.
- **(b)** Minutes of the DC annual review meeting in the prescribed format (Form No. 6), along with the work report, should be submitted by the Supervisor to the office of the Dean(Academics), forwarded through the HoD. The Supervisor shall communicate the comments/suggestions/inputs of the DC to the research scholar.
- (c) If the progress of the Research Scholar is rated as unsatisfactory in two consecutive DC annual evaluations during the period of the Ph.D. programme, the Ph.D. registration of the candidate will be terminated.

3.1.4.4 Thesis Submission

- (a) Research scholars can submit the thesis after a minimum of three years from the initial date of enrolment for the Ph.D. Programme.
- (b) At least two papers with the PhD scholar's name as first author must be published/accepted for publication in refereed journals of repute. The concerned Doctoral Committee will have the authority to decide on the quality of the journal, the standard of publications and their equivalence.
- (c) Once the research supervisor(s) are satisfied with the progress and amount of work carried out by the Ph.D. scholar, the DC shall make a comprehensive assessment of the research work via a *Pre-synopsis Seminar* and *Viva-Voce* by the research scholar. This seminar will be open to all members of the institute, provided the supervisor gives adequate notification.
- (d) The Pre-synopsis evaluation report by the DC will be submitted by the Supervisor, in the prescribed format (Form No.7), to the Office of the Dean-Academics, duly forwarded by the HoD. The evaluation sheet shall also contain the confirmed Title of the thesis.
- (e) Synopsis Submission: Within 10 days after the successful completion of the Pre-synopsis seminar, the candidate shall submit one hard copy of the synopsis through the HoD to the office of Dean(Academics). The length of the Synopsis generally can be between one thousand to two thousand words (five to ten pages including tables and figures, if any). It should contain the Title, a Brief Statement of the Research Problem, Motivation, Objectives, and Scope of the Research work, Methodology Adopted, a Brief Summary of the Mathematical Model and/or Experimental Set up, Summary of the Research Findings, List of Publications from this Research Study, and Proposed Content of the Thesis. A soft copy of Synopsis is to be submitted to the Ph.D. Office and the office of the Dean(Academics).
- (f) Panel of Examiners: The DC of the Ph.D. candidate shall propose a panel of at least 10 internationally renowned researchers (5 from within India and 5 from outside India) working in the same or allied areas as external examiners for thesis evaluation. The details of the examiners in the prescribed format should be sent to the office of the Dean (Academics) in a

- closed CONFIDENTIAL cover within 10 days of successful completion of the pre-synopsis evaluation.
- (g) Thesis submission: Soft copy of the thesis shall be submitted to the Office of Deputy Registrar-Academics and Dean (Academics), through the respective HoD, within a period of three months from the date of successful completion of pre-synopsis evaluation. Scholar shall submit the plagiarism report using a plagiarism detection tool subscribed to by IIST endorsed by the supervisor. The thesis shall include a certificate from the supervisor(s) to the effect that the thesis is a record of the bona fide research work carried out by the research scholar under his/her supervision and guidance, and that the work reported in the thesis has not been submitted elsewhere for any other degree. A summary of the guidelines for preparation of the thesis is given in the Appendix. The Deputy Registrar(Academics), after verifying the necessary details in the thesis submission form in the prescribed format, will forward the thesis to the office of the Dean(Academics) for further processing.
- (h) The fellowship will be discontinued for IIST-funded PhD students from the date of submission of the thesis. For PhD students under other categories, norms of the respective funding agencies will apply. PhD scholars shall vacate the hostel room within one month from the date of submission of their Ph.D. thesis.

3.1.4.5 Thesis Evaluation

- (a) From the submitted panel of external examiners, the Vice Chancellor will choose names in order of preference.
- (b) The Synopsis of the thesis will initially be sent to the two examiners (one in India and one from outside India) in order of preference. The other examiners are subsequently invited in that order as alternatives, if one or more of the examiners indicate their non-availability or do not respond to examine the thesis.
- **(c)** After receiving acceptance from the two examiners, Dean-Academics will send a soft copy of the PhD thesis to the examiners through email for their evaluation.
- (d) The examiner is expected to send the PhD thesis evaluation report within two months from the date of receipt of the thesis.
- (e) In case of undue delay in receiving the evaluation report, the Dean-Academics shall appoint another examiner from the panel, after approval from the Vice Chancellor, for evaluating the thesis. If an examiner suggests resubmission of the thesis after revision, the PhD scholar will be allowed to resubmit the thesis within the time stipulated by the DC.
- (f) If one of the two thesis examiners declares the thesis as not recommended, the thesis shall be referred to a third examiner, after approval from the Vice Chancellor, from the panel for the evaluation.
- (g) If at least two PhD examiners, after referral to a third examiner, in the event of a necessary third examiner, report the thesis as not commended, the registration of the candidate shall stand cancelled. If at least reports of two PhD examiners, after referral to a third examiner, in

the event of a necessary third examiner, declare the thesis as 'recommended', the HoD will recommend the conduct of Viva-Voce Examination.

3.1.4.6 Viva-Voce Examination

The Viva-voce Examination shall be conducted on receiving a suitable recommendation from the PhD examiners. A Viva-Voce board shall be constituted by the Dean-Academics with approval from the Vice Chancellor (vide Form No. 9). The board shall comprise the following

1.	DC Chairperson	Chairperson
2.	One of the PhD Examiners based in India who evaluated the thesis	Member
3.	Ph.D. Supervisor	Convener

- (a) The Viva-Voce shall be conducted in the Institute and shall be open to all through adequate notification by the Supervisor. The DC members will be invited to the open Viva-Voce Examination. The Ph.D. Scholar will give a presentation of the thesis.
- (b) The Viva-Voce Board shall
 - i. examine the thesis
 - ii. examine if necessary modifications suggested by the thesis examiners have been incorporated
 - iii. elicit the candidate's replies to the questions raised by the thesis examiners
 - iv. authenticate the work as the candidate's own
 - v. judge the presentation of the work by the candidate and the answers to the questions asked in the open Viva-Voce presentation
 - vi. submit a report of the examination in the prescribed format (Form No. 10).
- (c) If the Viva-Voce Board declares the performance of the PhD scholar as not satisfactory, he/she may be asked to reappear for the Viva-voce Examination again at a later date (not earlier than three months and not later than six months from the date of the first Viva-Voce).

3.1.4.7 Award of Degree

On successful completion of the Viva-Voce Examination, the following must be carried out.

- (a) Final thesis (soft copy) should be submitted to the Deputy Registrar (Academics) through the research supervisor for the digital archive (in-house as well as on Inflibnet).
- **(b)** It is required to submit three corrected hard-bound copies of the final thesis to the office of the Deputy Registrar (Academics).
- (c) The Research Scholar will be awarded a Provisional PhD Degree after approval from the Vice Chancellor. The PhD Degree will be awarded with the approval of the Executive Council in the next subsequent Convocation of the Institute.

3.1.4.8 Temporary withdrawal/category conversion from Full Time Ph.D. Programme due to employment

Any registered full-time research scholar is permitted to take up a job and return with study leave to complete his/her Ph.D. programme if he/she meets the requirements as indicated below.

- i) Candidates should have completed his/her coursework as recommended by the DC.
- ii) Candidates should have completed his/her comprehensive examination successfully.
- iii) Candidates should have satisfied a minimum residency period of two years.
- iv) The DC will critically assess the progress of the PhD scholar and give a recommendation for the minimum time required for completion of his / her Ph.D. work.
- v) The PhD scholar will be permitted to be away from the Institute for a maximum period of 1 year to obtain necessary clearances (leave) from the employer.
- vi) PhD scholars will be permitted to leave the Institute once his / her synopsis is accepted.
- vii) The candidate has to submit the thesis within six months from the date of submission of the synopsis.
- viii) The PhD scholar will be given a IIST fellowship if the student is an IIST-funded fellow after re-joining, subject to the condition that he / she shall not be receiving any salary or any other kind of financial assistance from the employer, and such leave period will be counted in the tenure of the fellowship.
- ix) IIST-funded scholar who is unable to secure leave from the employer due to probation, etc., then in such cases, the employer should certify that the new place of work has adequate facilities to continue with the Ph.D. research work, and the organization will facilitate the candidate to finish the Ph.D. programme. Such candidates will be considered as part-time Ph.D. scholars.
- x) For PhD students under other categories, norms of the respective funding agencies will apply.

3.2 Fee Structure

3.2.1 Fee payment

The fees to be paid by PhD scholars at the beginning of each semester include Tuition fees and Semester fees. The details of the fees are as given in the Table below.

Description of Fees	Amount (Rs.)
Tuition Fees* / Semester	Rs. 2500*
Other Fees / Semester	Rs. 4950
Total Fee (A)	Rs. 7450
Hostel Fee/ Semester	Rs.10250
Admission Fee (Non-Refundable) One-time	Rs.1500
Caution Deposit (Refundable)(One-time)	Rs. 5000
Total Fee(B)	Rs. 16750**

Mess Bill (Nominal) in advance	Rs.18000***
Grand Total 1 st Semester (A+B)	Rs. 42200
2 nd Semester onwards (A+B-one time)	Rs. 35700

^{*} SC/ST/PD students are exempted from payment of Tuition Fees.

The following are to be noted regarding the above fees.

- A candidate who confirms the seat has to remit Seat Acceptance fee of Rs. 4950/-.
- Mess bill corresponds to Rs. 3000/- per month. Advance bill of 6 months is collected by Canteen office after the student physically reports to the Institute.
- The fees are liable to change at the discretion of the Institute.
- Ph.D. students must pay fees till the submission of the thesis. If the student is submitting the thesis, without delay (i.e., within three months after pre-synopsis), within one month of the semester (i.e., before July 31 or January 31), only the tuition fee will be waived.

Additional fees:

- Supplementary Examination fees Rs.100/- per paper
- PhD Re-registration fee- Rs. 1500/-
- Thesis Submission Rs. 1000/-
- Convocation fees Rs. 2000/-
- Alumni Registration fees Rs. 500/-

The Convocation fees and the alumni registration fees will be collected along with the final semester fee.

3.1.2 Fee Refund

If a Ph.D. scholar wishes to withdraw from the programme of study, the Institute shall follow the guidelines below for the refund of fees. The refund includes course fees and non-tuition fees, but does not include the caution deposit.

SI. No.	Percentage of Refund of Aggregate Fees	Time when notice of withdrawal of admission is served to Higher Education Institute
1	90%*	15 days before the formally-notified last date of admission
2	80%	Not more than 15 days after the formally-notified last date of admission
3	50%	More than 15 days but less than 30 days after formally-notified last date of admission
4	0%	More than 30 days after formally-notified last date of admission

^{* 10%} deduction in case 1 in the table above are charges for processing.

^{**} Will be collected at the time of physically reporting to the Institute for admission

^{***} Amount subject to change according to institute policy

4. Financial support and Facilities

4.1 Research Fellowship

For full-time Ph.D. programmes, research scholars receive a fellowship during their tenure for a PhD. For part-time Ph.D. students (from IIST/ISRO/DoS/Central Government Organisations/Industry), the scholars do not receive any financial support from IIST.

4.1.1 IIST Fellowship

- (a) Students admitted to the Ph.D. programme are initially offered a Junior Research Fellowship (JRF).
- (b) On the completion of two years, along with a comprehensive examination, the JRFs will be reviewed by the doctoral committee (a subject expert from outside IIST is mandatory in the review committee). The committee shall send its recommendation to the Vice Chancellor through Dean(Academics) for approval. After the approval of the Vice Chancellor, the JRF may be awarded the position of SRF with an enhanced fellowship, as per the rules, for another three years. JRF to SRF fellowship enhancement will be from the date of review. Under exceptional and genuine cases, upon strong recommendation from the DC based on adequate reasons for delay in conducting the JRF to SRF fellowship enhancement meeting, the fellowship enhancement will be granted retrospectively maximum three months from the date of review.
- (c) The DC shall give a recommendation for fellowship extension from the fourth year to the fifth year to the Vice Chancellor through the HoD. Students shall submit the status report in the prescribed form. The PhD Program committee will review the fellowship extension requests and give its recommendation to the Vice Chancellor through the Dean(Academics).
- (d) For Junior Research Fellows (JRF): Rs. 37,000/- pm and for Senior Research Fellows (SRF): Rs. 42,000/- pm (as governed by Department of Space guidelines).
- (e) Research Scholars with CSIR/UGC-NET-JRF/NBHM and State Government Science and Technology Scheme, etc., admitted to the IIST PhD programme, shall draw fellowship from the concerned organization. For all research scholars with external fellowships, the relevant rules and regulations of the funding agency apply; however IIST will recommend fellowship enhancements from the date of review.
- **(f)** The total tenure of the fellowship will not exceed five years, inclusive of the one-year coursework. DC shall review the performance of the Research Fellows on a yearly basis for the continuation of the fellowship.
- (g) Scholars are required to assist the Departments in tutorials, practical training in labs, or similar academic activities for a minimum of 6 hours per week.

4.1.2 External Fellowships

- (a) Research scholars who receive fellowship from agencies such as CSIR/UGC-NET-JRF / NBHM and State Government Science and Technology Scheme, etc. will be governed by the relevant rules of the respective funding agency and governed by IIST PhD regulations.
- (b) These scholars will receive their fellowship as per the norms of their funding agencies.
- (c) The Institute will not bear the fellowship of the student if the same is stopped due to any reason by the concerned agency.
- (d) After two years of research experience, their performance will be evaluated by a review committee for the enhancement of their Ph.D. fellowship as per the prescribed norms of the funding agencies. The institute will recommend fellowship enhancements from the date of committee's review with an external expert. Under exceptional and genuine cases, upon strong recommendation from the DC based on adequate reasons for delay in conducting the JRF to SRF fellowship enhancement meeting, the fellowship enhancement will be granted retrospectively, for a maximum of three months from the date of review.
- **(e)** The scholars will be required to assist the Department in academic activities for a minimum of 6 hours per week.

4.1.3 ASRG/ External Project Funded PhD

- (a) All research scholars selected under the project-funded Ph.D. fellowship will receive their fellowship as per the norms of their funding agencies.
- (b) The duration of fellowship for such Ph.D. scholars from the project will be till the time funding agencies provide them the fellowship. After the completion of the project, the Institute will fund the student for an additional year with the recommendation of the Doctoral committee with an external subject expert. Under exceptional cases, the IIST will extend the fellowship for another one more year, if there is a visible research output in terms of paper publication and a strong recommendation from the committee (DC with an external expert). Under any circumstances, the total tenure of the fellowship will not exceed five years.
- (c) After two years of research experience, their performance will be evaluated by a review committee for the enhancement of their Ph.D. fellowship. The increase in fellowship will be in accordance with the prescribed norms of the funding agencies. The institute will recommend fellowship enhancement from the date of the committee's review with an external expert. Under exceptional and genuine cases, upon strong recommendation from the DC based on adequate reasons for delay in conducting the JRF to SRF fellowship enhancement meeting, the fellowship enhancement will be granted retrospectively for a maximum of three months from the date of review.
- (d) The scholars will be required to assist the Department in academic activities for a minimum of 6 hours per week.

4.2 Support for Conferences / Seminars / Workshops / Schools

For full-time PhD programmes, research scholars receive support for attending Conferences/ Seminars/Workshops/Schools or events that are of a scientific nature. For part-time PhD (from IIST / ISRO / DoS / Central Government Organisations / Industry), the scholars do not receive any support from IIST for attending Conferences or similar events. They can apply to their respective home institution/company for funds to attend Conferences or similar events.

4.2.1 National Conferences or similar events

- (a) IIST-funded research scholars may be supported for Conferences / Seminars / Workshops / Schools or similar events within the country subject to approval by the Dean (Academics). IIST Funded students can avail support from IIST after successful completion of coursework. Students shall submit the following documents along with the prescribed application form (Form No. TG-01):
 - i) Acceptance letter from the organizer
 - ii) Conference/seminar/workshop/school Brochure
 - iii) Biodata of the student
 - iv) Registration Fee details

IIST will reimburse the Registration fee, poster charges, Travel expenditure, Accommodation Charges, and food allowances as per IIST norms.

Following is the eligibility for reimbursement for travel expenses on approved tours for attending seminars, conferences, scientific events/ workshops, etc., in India

Description	Mode of Travel	Eligibility	
Travelling	Rail	AC – 2 Tier Class	
	Road	Any means of public transport provided the	
		total fare does not exceed above train fare	
Registration Fees	Student category - Actual		
Taxi Fare	(from nearest railway station to destination and vice versa) as		
	per IIST norms		
Accommodation per day	Actual guesthouse charges paid in the case of accommodation		
	in ISRO guesthouses. In the case of hotel accommodation		
	where ISRO guesthouses are not available, the reimbursement		
	is restricted to an amount as per IIST norms		
Food Bill per day	Restricted to an amount as per IIST norms		

The reimbursement of any travel expense will be subject to the production of original tickets, bills, and receipts with a claim for settlement of travel. The actual expenditure, or the maximum admissible amount, whichever is less, will be reimbursed.

(b) Externally funded and project-funded PhD scholars may be supported for Conferences / Seminars / Workshops / Schools or similar events within the country as per contingency funds specified by the funding agencies.

4.2.2 International Conferences or similar events

- (a) Full-time PhD scholars will be entitled to a travel Grant (Registration fee, travel, living expenses, any other meeting related charges) to attend international conferences/workshops and other scientific meetings. The amount approved for travel will be based on the scholar's academic and financial merit points (funding from other agencies).
- (b) This grant can be used only after the successful completion of a comprehensive examination.
- **(c)** The international conference grant can be availed in parts.
- (d) PhD scholars are encouraged to approach other sources of funding to support their scientific visits, such as ANRF, etc.
- (e) Scholars shall submit the application for travel grant in the prescribed form (Form No. TG-02) with the following:
 - i) Acceptance letter
 - ii) Conference Brochure
 - iii) Biodata
 - iv) Registration Fee details
 - v) Proof regarding funding from other agencies
- **(f)** Students have to provide a seminar in the institute on the research work related to the proposed work.

4.3 Book grant

- (a) Full-time PhD scholars will be provided a book grant of ₹5,000/-per year for five years.
- **(b)** These scholars in the first year of their Ph.D. can use their grant after successful completion of their coursework.
- (c) If the Book grant of any year is unused or partially used the balance amount may be carry forward to the next year, but the total amount (₹25,000/-) should be used before end of the 5th year.

4.4 Accommodation

4.4.1 Full-time PhD

PhD scholars in this category will be provided on-campus accommodation subject to availability of hostel rooms. Hostel accommodation will not be provided to the students after the completion of five years of the Ph.D. programme. If a PhD scholar opts to stay in a private accommodation, a house rent allowance (HRA) will be paid as per the institute/funding agencies rules.

4.4.2 Part-time PhD

Students under this category are eligible for hostel accommodation subject to availability during their course work, and during their visits to IIST to undertake research work subject to availability of the hostel room.

4.5 Leave

4.5.1 Full-time PhD

- (a) All full time research scholars are eligible for thirty days leave of absence in a year subject to a maximum of fifteen days in a semester (01st July 31st Dec & 01st Jan 30th June) including absence on medical grounds.
- **(b)** The scholars can avail leave after recommendation from the supervisor/co-supervisor and approval from the concerned HOD.
- (c) Maternity leave as per Government of India norms (currently 240 days) that are issued from time to time would be available.
- (d) The PhD scholars are expected to record their attendance in the Department on all working days.

4.5.2 Part-time Ph.D.

Part-time PhD scholars who opt for course work offered by IIST record their attendance in the Department on all working days during the period of course work. During this period, they shall be eligible for leave regulations as per full-time PhD scholars.

4.6 Medical Facility

- (a) PhD scholars can avail the health care facilities available at the IIST Health Centre oncampus.
- **(b)** They are also covered under Insurance Policy of the institute.

5. Code of Conduct

- (a) Every scholar is expected to maintain highest standards of academic integrity.
- (b) Every scholar is required to observe disciplined and decorous behaviour both inside and outside the campus and should not indulge in any activity, which will lower the reputation of the Institute.
- (c) Any act of indiscipline by the scholar reported to any authority of the Institute will be referred to the Disciplinary Committee of the Institute.
- (d) The Committee will investigate the charge and recommend suitable punishments, if it finds the charges substantiated. Vice Chancellor will take necessary action based on the recommendation of the Disciplinary Committee.

6. Disciplinary Rules For Students

6.1 In the campus:

- (a) A student of the Institute shall conduct himself/herself, both within and outside the campus of the Institute, in a manner befitting a student of an Institute of national importance. No student is expected to indulge in any activity, which tends to bring down the prestige of the Institute.
- **(b)** Every student shall maintain high degree of discipline, decorum and decency, punctuality and attendance.
- (c) Students shall show due respect and courtesy to the Teachers, Administrators, Officers & Employees of the Institute, during their stay in the premises of the Institute and extend good neighbourly behaviour to fellow students.
- (d) Students of the Institute are required to remain on campus at all times during the semester session except when they are specifically exempted by the Competent Authority on genuine grounds. Unauthorized absence will be treated as a serious breach of the Code of Conduct and Discipline.
- (e) Students shall refrain from resorting to unfair means and malpractices during Quiz, End Semester and summer supplementary examinations.
- (f) No student is allowed to use the cell phone within the academic premises of the Institute or to keep cell phones in their bags while in the academic sessions/practical classes. The security instructions followed at the Institute and other government institutions shall be binding on the students during their visit/stay in the said premises.
- (g) Students are expected to maintain a clean environment and not to litter the campus.
- (h) Every student shall display his/her Identity Card while on the campus and produce the same on demand by the authorities.
- (i) Students shall come to the class room in decent and presentable attire.
- (j) The following acts are strictly prohibited:
 - i) writing on walls, toilets & roads and pasting of posters on the wall of the premises;
 - ii) indulging in any act of sexual harassment of any person;
 - iii) bringing any political influence in matters pertaining to his/her academic career;
 - iv) indulging in Strike, Picketing, Gherao, etc.,
 - v) use of Internet for purposes other than academic related activities;
 - vi) violation of discipline and decorum at the Institute functions/ Convocation / Institute Day/ Sports Day etc, whether held inside or outside the campus;non-adherence to prescribed dress code and disorderly conduct in the labs.
 - vii) Damage to institute property.

6.2 In the Hostel

- (a) IIST is residential in nature and all registered students will be required to reside in the halls of residence to which they are assigned at the time of registration or as per changes made from time to time.
- **(b)** No Student shall change or give up the assigned residence in any Hall of Residence without the prior permission of the concerned wardens or officials designated to function as wardens.
- (c) Separate accommodation will be provided to girl students within the campus.
- (d) Students shall be responsible for the good upkeep of furniture and fittings provided in the rooms for the entire period of their stay in the Institute.
- **(e)** Students shall be required to make their rooms available whenever required for inspection, repairs, maintenance, disinfection, etc.
- (f) Students shall be responsible for the safe keeping of their own property. In the event of loss of personal property of a student due to theft, fire or any other cause, the Institute shall accept no responsibility and shall not be liable for payment of compensation.
- (g) All members of Halls of Residence must maintain a decent dress code especially in the Institute, Dining Hall and Common Areas.
- (h) All games / sports / cultural activities must be carried out with restraint and decorum exhibiting a high level of sportsmanship. Such programmes and activities will not be allowed beyond the time stipulated by the Institute.
- (i) Students going out of the institute must obtain prior permission from the concerned authorities, indicating his/her destination and probable time of return.
- (j) For overnight or longer stay off campus, a resident must get prior permission of appropriate authority and indicate his/her destination and the expected duration of absence in writing to the Administrative officer—Hostel Services. In the event of unexpected absence from the Hall under emergency conditions, the resident must report to the authorities through phone at the earliest opportunity. Before proceeding on vacation or long leave, it is mandatory for every resident to inform the Administrative officer—Hostel Services. in writing about his/her destination.
- **(k)** All Hall properties such as newspapers, books, Television, Washing Machines and other utilities must be used with utmost care as per the user procedures defined by the Institute and willful damage to these will be considered a serious offence.
- (I) All residents should strictly adhere to the timings set down for meals by the authorities.
- (m) Students who have accommodation in a Hall of Residence shall abide by the rules and procedures of the Hall of Residence as defined from time to time both in letter and spirit.
- (n) Each student shall note the following for strict compliance.
 - i) Willful damage of furnishings due to improper handling and misuse shall be treated as an act of gross indiscipline and suitable action in terms of levy of fine towards compensating the loss of property shall be taken.

- ii) Use of electrical appliances like heaters, refrigerators, Television, VCR, VCD, DVD players, Play Station, etc. in the rooms is strictly prohibited.
- iii) Engaging personal attendants and keeping pets are not permitted.
- iv) Acquaintances/relatives/parents/ friends of students will not be permitted to visit individual rooms or stay in Halls of Residence. Short duration visits to the Visitors Room/Office Room may be permitted by the Warden on request. All such visits must be recorded in the Visitors Book maintained for the purpose.
- v) Bursting of crackers (except in specified pre-notified place during Diwali or festive occasions), playing loud music, etc., in the Halls of Residence, in other rooms, in the corridors, etc., are strictly prohibited.

6.3 General

- (a) Smoking in the Institute campus and Halls of Residence is strictly prohibited.
- (b) Possession, distribution and consumption of Alcohol, drugs and all other intoxicants in the Institute and Halls of residence are banned. All reported/suspected cases will call for strict disciplinary/legal action as per the rules of the Institute.
- (c) Ragging, in any form, is strictly prohibited and any violation shall be considered as a serious offence, leading even to dismissal from the Institute (The UGC Regulations, 2009 on curbing the menace of ragging in Higher Educational Institutions is given as Appendix II).
- (d) Improper behaviour with fellow students, staff, wardens, harassment of Juniors/Seniors, causing disturbance to others, etc., will be treated as acts of indiscipline.
- (e) Each student shall respect the rights and privileges of other individual to express his/her ideas, pursue his/her interests and follow the style of life most meaningful to him/her without infringing on the Code of Conduct and Discipline.
- **(f)** Victimization or harassment of any one, for any reason, shall be treated as a serious breach of discipline.

7. Violation of Code of Conduct and Discipline

- (a) In order to ensure orderly behaviour with fellow students and a commitment not to perpetuate any kind of indiscipline and misbehaviour in the campus as well as Halls of Residence, the Institute shall take deterrent action as detailed below depending on the gravity of the offence and as per decision of appropriate authorities of the Institute.
 - i. Reprimand.
 - ii. Imposition of fine.
 - iii. Suspension from attending classes and academic privileges.
 - iv. Suspension/Expulsion from the hostel.
 - v. Debarment from any test, examination or other evaluation process
 - vi. Withholding the results
 - vii. Disallowing the use of certain facilities of the institute.

- viii. Debarring from representing the Institute in any regional, national or international meet, tournament, youth festival etc.
- ix. Withholding/withdrawing Scholarship, awards, medals and other benefits.
- x. Rustication for a specified period
- xi. Expulsion from the Institute along with recovery of Scholarship Fee and imposition of prescribed penalty as per the rules of the Institute.

In cases involving a group of students, where the persons committing or abetting the acts of discipline are not identified in spite of all efforts, the Institute may resort to collective punishment.

- **b)** Any student on whom a penalty has been imposed under the provisions aforementioned may appeal against such penalty to the appropriate higher authorities for a final decision thereon.
- c) All students should comply with the Rules and Supplementary Rules and Bye-Laws as may be applicable to all registered students of IIST from time to time. Ignorance of any of these shall not be accepted as an excuse for its non-observance on the part of the students. For any violation of the rules and for any other act of indiscipline, the Competent Authority of the Institute shall take action as deemed necessary and appropriate.
 - Every scholar is expected to maintain highest standards of academic integrity.
 - ii) Every scholar is required to observe disciplined and decorous behaviour both inside and outside the campus and should not indulge in any activity, which will tend to bring down the reputation of the Institute.
 - iii) Any act of indiscipline by the scholar reported to any authority of the Institute will be referred to the Disciplinary Committee of the Institute.
 - iv) The Committee will investigate the charge and recommend suitable punishments, if it finds the charges substantiated. Director, IIST will take necessary action based on the recommendation of the Disciplinary Committee.